

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. ET575270084US, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date shown below.

Dated: 4-27-02

Signature: Jan K. Simpson

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APR 25 2002 52-02

TECH CENTER 1600/2900

Docket No.: P01910US0



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
E. J. Harper, et al.

Application No.: 09/890,289

Group Art Unit: 5071

Filed: January 13, 2000

Examiner: Not Yet Assigned

For: ANTIOXIDANT COMPOSITIONS AND
METHODS FOR COMPANION ANIMALS

**PETITION AND FEE TO ADD TO ORIGINAL ERRONEOUSLY NOT NAMED
INVENTOR IN NONPROVISIONAL APPLICATION
DECLARATION UNDER 37 C.F.R. § 1.48(A)**

Commissioner for Patents
U.S. Patent and Trademark Office
Washington, DC 20231

Dear Sir:

This petition is to correct the incorrect original naming of inventors in the application-declaration under 37 C.F.R. 1.48(a) as set forth and filed on July 27, 2001.

Applicants respectfully request that the following previously unnamed person be added as an inventor of this application:

Inventor Information:

Name: Paul Richard Heaton
Address: Waltham Centre for Pet Nutrition
Waltham-on-the-Woods
Leicestershire LE14 4RT
United Kingdom
Citizenship: United Kingdom

04/24/2002 SDENB0B1 00000085 09890289

01 FC:122

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Attached with this petition is an Assent of Assignee to Addition of Inventor (37 C.F.R. 1.48(a)(4)).

Attached is the filing fee of \$130.00 for this petition. Applicant believes no additional fee is due. However, if an additional fee is due, please charge the deposit account of Fulbright & Jaworski L.L.P., 06-2375 under Order No. 09908703 from the undersigned is authorized to draw. A Fee Transmittal (in duplicate) is attached.

Respectfully submitted,

Dated: 4-27-07

By

Jan K. Simpson

Registration No.: 33,283

FULBRIGHT & JAWORSKI L.L.P.

1301 McKinney, Suite 5100

Houston, Texas 77010-3095

(713) 651-5383

Attorneys for Applicant

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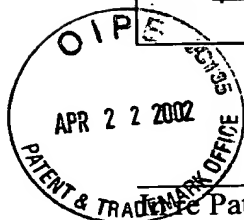
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Docket No.: P01910US0

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Dated: 4-22-02

Signature: *[Signature]*
Jan R. Simpson



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application of:

E. J. Harper, et al.

Application No.: 09/890,289

Group Art Unit: 5071

Filed: January 13, 2000

Examiner: Not Yet Assigned

For: ANTIOXIDANT COMPOSITIONS AND
METHODS FOR COMPANION ANIMALS

ASSENT OF ASSIGNEE TO ADDITION OF INVENTOR

Commissioner for Patents
Washington, DC 20231

Dear Sir:

Mars UK Unlimited
3D Dundee Road
Berkshire, Slough
SL1 4LG UNITED KINGDOM

The assignment filed on November 2, 2001 a copy of which along with Form PTO 1595 is attached.

Assignee hereby assents to the correction of inventorship filed herewith.

A Statement Under 37 C.F.R. § 3.73(b) is attached.

MARS UK LIMITED

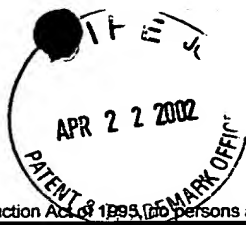
Date

18 July 2002

By

[Signature]

Robert R. Schroeder
Assistant Secretary



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TECH CENTER 2002/03/01

PTO/SB/01A (10-01)

Approved for use through 10/31/2002 OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)**Title of Invention** ANTIOXIDANT COMPOSITIONS AND METHODS FOR COMPANION ANIMALS

As the below named inventor(s), I/we declare that:

The declaration is directed to:

- ☐ The attached application, or
- ☒ Application No. 09/890,289, filed on 01/13/2000,
- ☐ as amended on _____ (if applicable).

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME(S) OF INVENTORS:Inventor one: Paul R. HeatonSignature: *P. Heaton* Citizen of: United Kingdom

Inventor two: _____

Signature: _____ Citizen of: _____

Inventor three: _____

Signature: _____ Citizen of: _____

Inventor four: _____

Signature: _____ Citizen of: _____

☐ Additional inventors are being named on _____ additional form(s) attached hereto.**Declaration for Utility or Design Patent Application**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. ET575270084US, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date shown below.

Dated: 4-18-02Signature: *Jan K. Simpson*Jan K. Simpson
(Name in Print)



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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Paul R. Heaton, et al.

Application No./Patent No.: 09/890,289

Filed/Issue Date: January 13, 2000

Entitled: ANTIOXIDANT COMPOSITIONS AND METHODS FOR COMPANION ANIMALS

Mars UK Limited
(Name of Assignee)

, a

Corporation

Type of Assignee, e.g., corporation, partnership, university, government agency, etc.

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

4-22-02
Date

Jan K. Simpson - Reg. No. 33, 283

Typed or printed name

[Signature]
Signature

Agent
Title

Statement By Assignee to Establish Ownership (37 CFR 3.73(b))

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. ET575270084US, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date shown below.

Dated: April 22, 2002

Signature: _____ (Jan K. Simpson)



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MAY 03 2002

TECH CENTER 1600/2000

Form PTO-1595

(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

RECORDATION FORM COVER SHEET

PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

E. Jean Harper

Additional name(s) of conveying party(ies) attached?

☐ Yes☒ No

3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: August 24, 2001

2. Name and address of receiving party(ies)

Name: Mars UK Limited

Internal Address:

Street Address:

3D Dundee Road
Berkshire

City: Slough

State: United Kingdom

Zip: SL1 4LG

Additional name(s) &
address(es) attached:☐ Yes☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the new application is:

A. Patent Application No.(s):

09/890,289

B. Patent No.(s):

Additional numbers attached?

☐ Yes☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jan K. Simpson
FULBRIGHT & JAWORSKI L.L.P.

Internal Address: Atty. Dkt.: P01910US0

Street Address: 1301 McKinney, Suite 5100

City:
HoustonState: TX Zip:
77010-3095

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41)

\$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

06-2375; Order No. 998703

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jan K. Simpson

Name of Person Signing

Signature

November 2, 2001

Date

Total number of pages including cover sheet, attachments, and documents: 4

Recordation Form Cover Sheet

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. ET575270209US, in an envelope addressed to: Box Assignments, Commissioner for Patents, Washington, DC 20231, on the date shown below.

Dated: November 2, 2001

Signature: Neva M. Dare (Neva M. Dare)

ASSIGNMENT BY INVENTOR

THIS ASSIGNMENT, made this 21st day of August, 2001 by E. Jean Harper (hereinafter referred to as Assignor), residing at Waltham Centre for Pet Nutrition, Waltham-on-the-Wolds, Leicestershire, LE14 4RT, UNITED KINGDOM;

WHEREAS, Assignor has invented certain new and useful improvements in ANTIOXIDANT COMPOSITIONS AND METHODS FOR COMPANION ANIMALS, set forth in a Patent application for Letters Patent of the United States, already filed on Jan. 13, 2000 as U.S. application No. 09/890,289; and

WHEREAS, Mars UK Limited, a corporation organized under and pursuant to the laws of United Kingdom having its principal place of business at 3D Dundee Road; Berkshire; Slough; SL1 4LG; UNITED KINGDOM (hereinafter referred to as Assignee), is desirous of acquiring the entire right, title and interest in and to said inventions and said Application for Letters Patent of the United States, and in and to any Letters Patent of the United States to be obtained therefor and thereon.

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, Assignor has sold, assigned, transferred and set over, and by these presents does sell, assign, transfer and set over, unto Assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions and application for Letters Patent, and in and to any and all direct and indirect divisions, continuations and continuations-in-part of said application, and any and all Letters Patent in the United States and all foreign countries which may be granted therefor and thereon, and reissues, reexaminations and extensions of said Letters Patent, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by Assignee, for its own use and benefit and the use and benefit of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent may be granted and/or extended, as fully and entirely as the same would have been held and enjoyed by Assignor, had this sale and assignment not been made.

AND for the same consideration, Assignor hereby represents and warrants to Assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, except for any rights, titles and/or interests that have arisen to Assignee under law or that have already been transferred to Assignee, Assignor is the sole and lawful owners of the entire right, title and interest in and to the said inventions and application for Letters Patent above-mentioned, and that the same are unencumbered and that Assignor has good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, Assignor hereby covenants and agrees to and with Assignee, its successors, legal representatives and assigns, that Assignor will sign all papers and documents, take all lawful oaths and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of any Letters Patent and applications for Letters Patent for said inventions, without charge to Assignee, its successors, legal representatives and assigns, whenever counsel of Assignee, or counsel of its successors, legal representatives and assigns, shall advise: that any proceeding in connection with said inventions, or said Patent application for Letters Patent, or any proceeding in connection with any Letters Patent or applications for Letters Patent for said inventions in any country, including but not limited to interference proceedings, is lawful and desirable; or, that any division, continuation or continuation-in-part of any application for Letters Patent, or any reissue, reexamination or extension of any Letters Patent, to be obtained thereon, is lawful and desirable.

AND Assignor hereby requests the Commissioner of Patent and Trademarks to issue said Letters Patent of the United States to Assignee, as Assignee of said inventions and the Letters Patent to be issued thereon, for the sole use and benefit of Assignee, its successors, legal representatives and assigns.

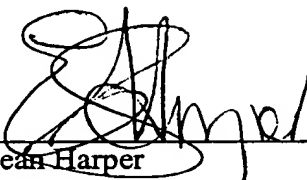
AND Assignor hereby grants the following individuals the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document:

FULBRIGHT & JAWORSKI L.L.P.

Melissa W. Acosta	45,872	J. Ashley Herring	47,644	John E. Schneider	31,998
Alberto Q. Amatong,	41,580	Paul E. Krieger	25,886	Melissa Schwaller	46,080
Ronald G. Bliss	28,691	Michael S. McCoy	46,913	Jan K. Simpson	33,283
Gino Catena	45,546	John M. Mings	35,955	Melissa L. Sistrunk	45,579
Paul L. DeVerter, II	19,747	Thomas D. Paul	32,714	Edward D. Steakley	47,964
Marc L. Delflache	28,942	Jayne C. Piana	P-48,424	William A. Stout	18,773
David L. Fox	40,612	Doak C. Procter, IV	P-48,705	Charles B. Walker, Jr.	43,231
Eric B. Hall	46,751	James W. Repass	30,487	Richard S. Zembek	43,306

AND Assignor acknowledges an obligation of assignment of this invention to Assignee at the time the invention was made.

24/08/01
Date


E. Jean Harper